

**Format for Declaration of Self Certification for Corporate ARMFA who has sub-brokers**

**On appropriate letterhead of corporate body**

Date: \_\_\_\_\_

From: \_\_\_\_\_

**AMFI Registration Number (ARN):** \_\_\_\_\_

\* Landline:

\* Mobile:

\* Email:

The Registrar and Transfer Agent of Mutual Funds  
(Please tick as applicable)

CAMS	<input type="checkbox"/>	Franklin Templeton	<input type="checkbox"/>
Karvy CPL	<input type="checkbox"/>	Deutsche ISPL	<input type="checkbox"/>
Escorts	<input type="checkbox"/>	Sundaram BNP Paribas FSL	<input type="checkbox"/>

Sub: Declaration of Self-Certification (DSC): Period \_\_\_\_\_ / Financial Year \_\_\_\_\_

"This is to certify that, in the course of my/our business in the distribution of mutual fund products during the period / financial year ended March 31, \_\_\_\_\_. I/we have adhered to the Code of Conduct contained in SEBI circular no. SEBI/IMD/CIR No. 8/174648/2009 dated August 27, 2009 and to the requirements as prescribed in SEBI's circular no. MFD/CIR/20/23230/2002 dated November 28, 2002 and the AMFI circular no: CIR/ARN-09/08-09 dated July 18, 2008 in this regard.

We further certify that we have AMFI certified ARMFA empanelled with us acting as sub-brokers for distribution of mutual funds and that they have in turn met all certification requirements in terms of the above mentioned circulars from SEBI. We confirm that the necessary DSC for the financial year as above has been submitted by them to us"

In compliance with SEBI Circular no. SEBI/ IMD/CIR/No.4/168230/09 dated June 30, 2009, I/ we have disclosed to investors all the commissions (in the form of trail commission or any other mode) payable to me /us for the different competing schemes of various mutual funds from amongst which the scheme was recommended to our investors.

We also certify that we have complied with the Know Your Distributor (KYD) requirements as per AMFI Guidelines. We further certify that all the sub-brokers empanelled with us have complied with KYD requirements.

We further certify that we have adhered to the SEBI and AMFI Guidelines issued from time to time.

Kindly update this DSC on your records and with all the Fund Houses where we are empanelled.

For \_\_\_\_\_ (firm name)

Authorised Signatory (ies)

*\* Information of principal contact desirable for all important communication to be disseminated efficiently*